

12/10/97



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PTO/SB/05 (6-95)

Approved for use through 11/30/98 OMB 0651-0032  
Patent and Trademark Office: US Department of Commerce

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02/PTO  
Rev. 6/95 US Department of Commerce  
Patent and Trademark Office**NEW UTILITY PATENT  
APPLICATION TRANSMITTAL**

Attorney Docket Number

ARC 2600 R1

First Named Inventor

THEEUWES, Felix, et al.

Total Pages in this  
Submission**APPLICATION ELEMENTS**

Note: Checklist items mentioned under **Application Elements** section construct a new utility patent application. Please refer to MPEP Sections 506,601, (37 CFR 1.77, 1.53, 35 USC 111, 112, 113) for detailed explanation regarding completeness of an original patent application.

1. ☒ Fee Transmittal Form (prescribed filing fee(s))
2. ☒ Specification
  - ☒ Title of the Invention
  - ☐ Cross References to Related Applications (if applicable)
  - ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - ☐ Reference to Microfiche Appendix (if applicable)
  - ☒ Background of the Invention
  - ☒ Brief Summary of the Invention
  - ☒ Brief Description of the Drawings (if drawings filed)
  - ☒ Detailed Description
  - ☒ Claim or Claims
  - ☒ Abstract of the Disclosure
3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
4. ☒ Executed Declaration
5. ☐ Genetic Sequence Submission (if applicable, all must be included)
  - ☐ Paper Copy
  - ☐ Computer Readable Copy
  - ☐ Statement Verifying Identical Paper and Computer Readable Copy

**ACCOMPANYING APPLICATION PARTS**

6. ☐ Assignment Papers
7. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
8. ☐ Computer Program in Microfiche
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Petition Checklist and Accompanying Petition
12. ☐ Preliminary Amendment
13. ☐ Proprietary Information
14. ☒ Return Receipt Postcard
15. ☐ Small Entity Statement
16. ☐ Additional Enclosures (please identify below)

**ADDRESS OF APPLICANT, ATTORNEY, OR AGENT**ALZA Corporation  
950 Page Mill Road  
P.O. Box 10950  
Palo Alto, CA 94303-0802**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	D. Byron Miller
Signature	
Date	December 10, 1997

**FOR OFFICIAL USE ONLY**

Application Number	Class:	Independent Claims:
Date of Receipt	Application Type:	GAU:
	Filing Date:	Foreign Filing License?:
	Small Entity:	Foreign Address?:
		Drawing Sheets:
		Special Handling?:

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**CERTIFICATE OF MAILING OR TRANSMISSION**

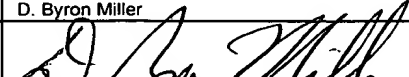
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, Express Mail mailing label no. TB 057 257 057 US, addressed to Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231 on December 10, 1997 (date)

By: Henrietta Votaw  
Henrietta Votaw

# US PATENT AND TRADEMARK OFFICE

PTO/SB/17 (6-95)  
Approved for use through 11/30/98 OMB 0651-0032  
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0002/PTO Rev. 10/95		US Department of Commerce Patent and Trademark Office		<b>Complete if Known</b>	
<b>FEE TRANSMITTAL</b>		Application Number			
		Filing Date			
		First Named Inventor		THEEUWES, Felix, et al.	
		Group Art Unit			
Total Amount of Payment		(\$) <b>900.00</b>		Examiner Name	
				Attorney Docket Number	
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<b>METHOD OF PAYMENT (check one)</b>				<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																			
<b>1</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit Account Number: 01-1173  Deposit Account Name: ALZA Corporation  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)				<b>3 ADDITIONAL FEES</b>  <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge-late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> 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